

SRL:SEC:SE:2022-23/47

October 20, 2022

The Manager
Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G-Block
Bandra-Kurla Complex
Bandra (East),
Mumbai – 400 051
(Symbol: SPENCERS)

The General Manager
Department of Corporate Service,
BSE Limited
Phiroze Jeejeebhoy Tower
Dalal Street
Mumbai – 400 001
(Scrip Code: 542337)

Dear Sir/Madam,

Sub:- Shareholding pattern for the quarter ended September 30, 2022

Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the shareholding pattern of the Company for the quarter ended September 30, 2022.

You are requested to kindly take the aforementioned information in record and oblige.

Thanking you,
For Spencer's Retail Limited

Neelesh Bothra
Chief Financial Officer

Encl.: As Above

Spencer's Retail Limited**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Name of Listed Entity: Spencer's Retail Limited		
Scrip Code/Name of Scrip/Class of Security: BSE-542337 AND NSE-SPENCERS/ Equity Shares		
Share Holding Pattern Filed under: Reg. 31(1)(b) for the quarter ended September 2022		
Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
Sl. No.	Particulars	Yes / No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether Company has equity shares with differential voting rights?	No
7	Whether the listed entity has any significant beneficial owner?	No

Spencer's Retail Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	-	-	-	-	-	5,30,08,514
(B)	Public	62,506	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	-	-	-	-	-	3,62,69,309
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	-	-	-	-	-	1,20,000
	Total	62,521	9,01,32,009	-	-	9,01,32,009	100.00	9,01,32,009	-	9,01,32,009	100.00	-	-	-	-	-	-	8,93,97,823

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sl. No.	Category & Name of the shareholders	Entity Type	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
										Class eg: X	Class eg: y	Total								
	(I)		(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																			
(a)	Individuals / Hindu Undivided Family			5	1,93,265	-	-	1,93,265	0.21	1,93,265	-	1,93,265	0.21	-	0.21	-	-	-	-	1,93,265
	Sanjiv Goenka	Promoter		1	91,659	-	-	91,659	0.10	91,659	-	91,659	0.10	-	0.10	-	-	-	-	91,659
	Shashwat Goenka	Promoter Group		1	75,756	-	-	75,756	0.08	75,756	-	75,756	0.08	-	0.08	-	-	-	-	75,756
	Preeti Goenka	Promoter Group		1	17,150	-	-	17,150	0.02	17,150	-	17,150	0.02	-	0.02	-	-	-	-	17,150
	Sanjiv Goenka Huf	Promoter Group		1	8,360	-	-	8,360	0.01	8,360	-	8,360	0.01	-	0.01	-	-	-	-	8,360
	Avarna Jain	Promoter Group		1	340	-	-	340	0.00	340	-	340	0.00	-	0.00	-	-	-	-	340
(b)	Central Government / State Government(s)			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)			9	5,28,15,249	-	-	5,28,15,249	58.60	5,28,15,249	-	5,28,15,249	58.60	-	58.60	-	-	-	-	5,28,15,249
	Bodies Corporate			9	5,28,15,249	-	-	5,28,15,249	58.60	5,28,15,249	-	5,28,15,249	58.60	-	58.60	-	-	-	-	5,28,15,249
	Rainbow Investments Limited	Promoter		1	3,96,04,042	-	-	3,96,04,042	43.94	3,96,04,042	-	3,96,04,042	43.94	-	43.94	-	-	-	-	3,96,04,042
	Stel Holdings Limited	Promoter Group		1	43,96,082	-	-	43,96,082	4.88	43,96,082	-	43,96,082	4.88	-	4.88	-	-	-	-	43,96,082
	Integrated Coal Mining Limited	Promoter Group		1	24,56,247	-	-	24,56,247	2.73	24,56,247	-	24,56,247	2.73	-	2.73	-	-	-	-	24,56,247
	Castor Investments Limited	Promoter Group		1	23,90,661	-	-	23,90,661	2.65	23,90,661	-	23,90,661	2.65	-	2.65	-	-	-	-	23,90,661
	Quest Capital Markets Limited	Promoter Group		1	17,41,508	-	-	17,41,508	1.93	17,41,508	-	17,41,508	1.93	-	1.93	-	-	-	-	17,41,508
	Phillips Carbon Black Limited	Promoter Group		1	11,46,613	-	-	11,46,613	1.27	11,46,613	-	11,46,613	1.27	-	1.27	-	-	-	-	11,46,613
	Saregama India Limited	Promoter Group		1	10,50,590	-	-	10,50,590	1.17	10,50,590	-	10,50,590	1.17	-	1.17	-	-	-	-	10,50,590
	Dotex Merchandise Private Limited	Promoter Group		1	28,107	-	-	28,107	0.03	28,107	-	28,107	0.03	-	0.03	-	-	-	-	28,107
	Lebnitze Real Estates Private Limited	Promoter Group		1	1,399	-	-	1,399	0.00	1,399	-	1,399	0.00	-	0.00	-	-	-	-	1,399
	Kolkata Metro Networks Limited	Promoter Group		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(1)			14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81	-	-	-	-	5,30,08,514
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specify)			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81	-	-	-	-	5,30,08,514

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Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group

Details of Shares which remain unclaimed for Promoter & Promoter Group				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	Voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				

(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f)	Investor Education and Protection Fund (IEPF)	1	99,992	-	-	99,992	0.11	99,992	-	99,992	0.11	-	0.11	-	-	-	-	99,992	-	-	-
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	59,077	1,65,98,691	-	-	1,65,98,691	18.42	1,65,98,691	-	1,65,98,691	18.42	-	18.42	-	-	-	-	1,62,34,140	-	-	-
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	41	39,05,407	-	-	39,05,407	4.33	39,05,407	-	39,05,407	4.33	-	4.33	-	-	-	-	39,05,407	-	-	-
(j)	Non Resident Indians (NRIs)	1,126	10,21,251	-	-	10,21,251	1.13	10,21,251	-	10,21,251	1.13	-	1.13	-	-	-	-	7,68,229	-	-	-
(j)	Foreign Nationals	8	32,687	-	-	32,687	0.04	32,687	-	32,687	0.04	-	0.04	-	-	-	-	10,127	-	-	-
(k)	Foreign Companies	28	58,220	-	-	58,220	0.06	58,220	-	58,220	0.06	-	0.06	-	-	-	-	-	-	-	-
(l)	Bodies Corporate	534	34,29,257	-	-	34,29,257	3.80	34,29,257	-	34,29,257	3.80	-	3.80	-	-	-	-	34,14,010	-	-	-
	Ginni Finance Private Limited	1	9,28,000	-	-	9,28,000	1.03	9,28,000	-	9,28,000	1.03	-	1.03	-	-	-	-	9,28,000	-	-	-
(m)	Any Other (Specify)	1,626	20,52,389	-	-	20,52,389	2.28	20,52,389	-	20,52,389	2.28	-	2.28	-	-	-	-	20,52,290	-	-	-
	Trusts	8	45,975	-	-	45,975	0.05	45,975	-	45,975	0.05	-	0.05	-	-	-	-	45,975	-	-	-
	Body Corp-Ltd Liability Partnership	29	8,08,815	-	-	8,08,815	0.90	8,08,815	-	8,08,815	0.90	-	0.90	-	-	-	-	8,08,815	-	-	-
	Hindu Undivided Family	1,529	10,62,220	-	-	10,62,220	1.18	10,62,220	-	10,62,220	1.18	-	1.18	-	-	-	-	10,62,121	-	-	-
	Unclaimed Shares	1	78,066	-	-	78,066	0.09	78,066	-	78,066	0.09	-	0.09	-	-	-	-	78,066	-	-	-
	Clearing Member	59	57,313	-	-	57,313	0.06	57,313	-	57,313	0.06	-	0.06	-	-	-	-	57,313	-	-	-
	Sub Total (B)(4)	62,441	2,71,97,894	-	-	2,71,97,894	30.18	2,71,97,894	-	2,71,97,894	30.18	-	30.18	-	-	-	-	2,64,84,195	-	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	62,506	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-	-	-	-	3,62,69,309	-	-	-

Spencer's Retail Limited			
Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public			
Details of the shareholders acting as persons in Concert for Public			
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
NIL			

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Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.

Details of Shares which remain unclaimed for Public

Sl.No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed suspense account
1	Unclaimed Shares in Suspense Account	1	78066

Spencer's Retail Limited**Table V - Statement showing details of significant beneficial owners**

Sr. No.	Detail of Significant			Detail of Registered Owner -II			Particulars of shares in which significant beneficial interest		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
NIL									

Spencer's Retail Limited**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	50.00	9.11
As on the end of previous 1st quarter	50.00	8.83
As on the end of previous 2nd quarter	50.00	8.66
As on the end of previous 3rd quarter	50.00	8.92
As on the end of previous 4th quarter	50.00	7.23

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.